

## Never Before Has Trading and Advanced Trade Order Management Been Easier

AdvisorDesk enables you to get a handle on efficient trade order management and tax optimization in a single platform. A sophisticated trading capability through AdvisorDesk allows you to generate pre-trade recommendations based on rules, rebalancing drift, or just individual trades. A robust trade order management system allows order routing, executions and allocations. You have complete control over dates and times of order approvals, order receipts and order executions. Compliance is significantly enhanced based on the flexibility of the rules-based system.

- Auto merge or split open orders
- Edit, cancel, revert and merge orders
- Selectively cancel trades
- Route trades electronically
- Assign brokers or use account defaults
- Dynamic cash adjustments

## Enhanced Cashiering and the Download Center Eases Back Office Operations

The screenshot shows the 'Cashiering' interface with the following sections:

- 1. Select Account:** A search bar and a table with columns: Account #, Short Name, Account Type, Physical Cash, Income Cash, Available Cash. A row is visible for '1140000000 PARKVIEW RD CLAYTONIA, OH \$12,983,000.00 \$0.00 \$18,000,000.00'.
- 2. Select Transaction Type:** A dropdown menu with 'ACH Out' selected.
- 3. Select Funds Distribution:** A dropdown menu with 'ACH' selected. Below it, 'Bank Account' is set to 'Ultra Funds' and 'Check Number' is empty.
- 4. Transaction Details:** Fields for 'Distribution Reason' (Income Dist (Item is over 18 1/2 or RMD)), 'Distribution Type' (Rata Distribution), 'Payee Party' (KEVIN HOBBS), 'Gross Amount', 'Federal Withholding', 'State Withholding' (PAID), and 'Net Amount'.

Enhanced Cashiering and the Download Center Eases Back Office Operations Cashiering with AdvisorDesk brings efficiency and expediency to recurring distributions, moving funds between accounts, ACH payments, wires and any form of Ad hoc payments. Even bill paying is easy with AdvisorDesk's Cashiering System.

Sorting through documents, client trade notices, tax reports, client statements and other secure files is made easy with AdvisorDesk's Download Center. Find it when you need it quickly and securely.

## True Software as a Service (SaaS Computing)

With AdvisorDesk, there is no hardware or software to buy. National Advisors takes on the responsibility of running these systems for you and there are no support responsibilities for you and your team. In addition, we provide redundant facilities for data back-up, business continuity, and Disaster Recovery. AdvisorDesk delivers a range of enhanced solutions that leverage an advisor's existing capabilities, resulting in increased productivity, improved portfolio management, expanded investment options, and lower account fees. Simply put, AdvisorDesk results in a more efficient system and a better overall experience for your clients.

If you would like to know more about AdvisorDesk or schedule a demonstration, please contact Client Services at [CS@NationalAdvisorsTrust.com](mailto:CS@NationalAdvisorsTrust.com) or 877-527-3476.

## About National Advisors Trust

National Advisors Trust is one of the largest independent trust companies in the country and owned by Registered Investment Advisors (RIA). Founded in 2001, the trust company's RIA shareholder base has grown to over 125 firms located in more than forty states. The trust company is chartered as a federal savings bank and is able to do business in all fifty states. National Advisors Trust provides a full range of custody, personal trust, and employee benefit trust services with assets under administration currently exceeding \$5 billion. The Trust Company is regulated by the Office of Thrift Supervision and is a member of FDIC.



# AdvisorDesk



The Technology Platform for Independent Advisors

## Flexibility, Power and Integration Driving Increased Efficiency and Enhanced Client Relationships

National Advisors Trust's AdvisorDesk™ is a proprietary technology platform that brings together the best in flexibility, power, and integration for a comprehensive system specifically designed for RIA firms. Through a Web 2.0, single sign-on environment, the system integrates Investor'sView™, one of the financial service industry's most robust portfolio management, rebalancing and trade order management systems with AddVantage™, a comprehensive trust accounting system. In addition, AdvisorDesk integrates the Trust Company's website as well as other applications for cashiering, document retrieval, tax reporting, secure file transfer and access to RSS data feeds from other sources.



### Manage More With Less and Increase Your Firm's Scalability

AdvisorDesk also makes trading across all custodians from a single platform highly efficient. Individual accounts can be traded or you can leverage the extensive capabilities available to rebalance a household, group or your entire base of portfolios. Orders can be processed individually or blocked to take advantage of National Advisors Trust's unique block trading capabilities. Depending on your trading style, AdvisorDesk can handle market orders and GTC orders as well as Limit and

Stop Limit orders. Equity and ETF trades are executed through most major brokers via a direct link to STN Equities network. Mutual Fund trades are bundled based on the appropriate broker and files are available for upload.

The system's proactive alerting system makes managing portfolios simple and easy. The system checks each portfolio each day for policy violations or portfolio drifts. Alerts can be generated for such things as bond maturities, security price thresholds, account reviews, and even scheduled reminders.

AdvisorDesk includes an "always active" portfolio monitoring and management capability with a sophisticated and proactive rules-based alerting and notification system. Hard and soft rules as well as policies can be set on an account level, individual advisor level, or organizational level. Whether you use investment models or not, tolerance ranges can be set for maintaining asset allocation and diversification strategies. Individual accounts, groups of accounts, or all accounts in your firm can be rebalanced and trades proposed within minutes.

## The Most Flexible Model-Based Rebalancing System Available

AdvisorDesk provides your team with the most advanced tools for modeling, asset allocation and benchmarking available. The system manages portfolio drift from advisor developed models, benchmarks or from third party models. You can develop and maintain your own models or obtain a wide selection of models from outside money managers through National Advisors Trust's third party relationships. Models can be mixed in any given portfolio providing the most flexible system available.

Accounts can be grouped and related into a household based on client relationship, investment style, advisor and more:

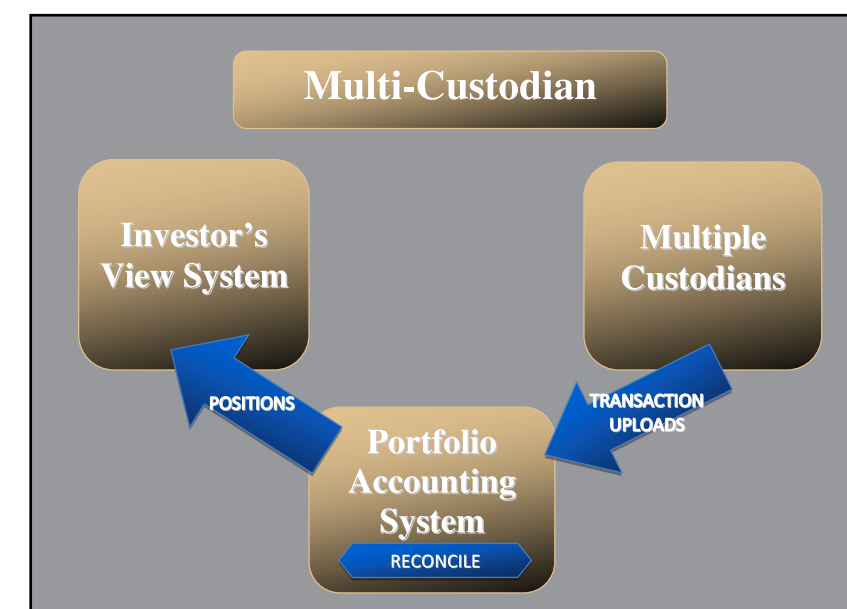
- Individual portfolio rebalancing
- Household and group rebalancing
- Rebalance on demand or scheduled
- Rebalance to strategic or tactical models
- Style-class modeling
- Access third party money manager models
- Advanced modeling and allocation control
- Produce trade authorization forms
- Track pledged and/or restricted assets
- Generate tactical investment proposals

### AdvisorDesk's Multi-Custodian Platform Brings All Your Accounts Together in a Single Environment

The AdvisorDesk platform is multi-custodian, which allows you to leverage AdvisorDesk's extensive portfolio monitoring and management, trading and trade order management capabilities across all your accounts and all your custodians. Simply put, AdvisorDesk is a break-through platform that takes you and your organization to an efficiency and account control level never before experienced by Advisors using traditional single custodian systems.

As a multi-custodian platform, you can manage custody and trust portfolios held at National Advisors Trust as well as portfolios held with other custodians. This means there is a single platform to learn and support, a single platform for all your portfolio management, and a single platform for trading. Now positions across all custodians are instantly visible and available for review and trading. Trading entire positions or swapping positions across all accounts or groups of accounts from all custodians is possible with a few key strokes. Take your organization to the next level.

Through AdvisorDesk's proprietary PAS Upload System™, positions are uploaded from Portfolio Center™, Axys™ and other portfolio accounting systems seamlessly. This unique and proprietary capability provides a quick and easy way to import account and position data from multiple custodians from your portfolio accounting system directly into AdvisorDesk.



1. Transactions and positions are downloaded from your custodians into your PAS.
2. Positions and balances are reconciled.
3. Positions are uploaded to AdvisorDesk.
4. Portfolios are ready for review.
5. Policy and rule alerts are automatically generated.